

jc604 U.S. PTO

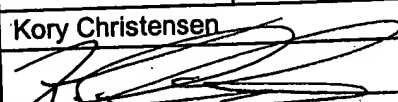
THE UNIVERSITY OF CHICAGO

PTO/SB/05 (2/98) (modified)

Approved for use through 9/30/2000, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<p><b>NEW UTILITY PATENT APPLICATION TRANSMITTAL</b></p> <p><i>(only for new nonprovisional applications under 37 CFR 1.53(b))</i></p>	Attorney Docket Number	4278
	First Named Inventor	Peter B. Kessler, et al.
	Total Pages in this Submission	11
	Express Mail Label No.	EL021106727US

APPLICATION ELEMENTS				ACCOMPANYING APPLICATION PARTS			
1.	<input checked="" type="checkbox"/>	Fee Transmittal Form (in duplicate)		6.	<input type="checkbox"/>	Assignment & Assignment Recordation Cover Sheet	
	<input checked="" type="checkbox"/>	Check Enclosed		7.	<input type="checkbox"/>	Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>	
2.	<input checked="" type="checkbox"/>	Specification <small>(preferred arrangement set forth below)</small>		8.	<input type="checkbox"/>	Information Disclosure Statement & PTO-1449 <div style="text-align: center;"><input type="checkbox"/> Copies of IDS Citation(s)</div>	
		<input type="checkbox"/> Descriptive Title of the Invention <input type="checkbox"/> Cross Reference(s) to Related Case(s) <input type="checkbox"/> Statement Regarding Fed sponsored R & D <input type="checkbox"/> Background of the Invention <input type="checkbox"/> Brief Summary of the Invention <input type="checkbox"/> Brief Description of the Drawing(s) <input type="checkbox"/> Detailed Description <input type="checkbox"/> Claim or Claims <input type="checkbox"/> Abstract of the Disclosure		9.	<input type="checkbox"/>	Preliminary Amendment	
3.	<input checked="" type="checkbox"/>	Drawing(s) ( when necessary per 35 USC 113)		10.	Small Entity Statement <div style="text-align: center;"><input type="checkbox"/> New Statement enclosed <input type="checkbox"/> Statement filed in prior application. Status still proper and desired</div>		
4.	Oath or Declaration			11.	<input checked="" type="checkbox"/>	Return Postcard	
	a.	<input type="checkbox"/> New Declaration <div style="text-align: center;"><input type="checkbox"/> Executed</div>		12.	<input type="checkbox"/>	_____	
	b.	<input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 17 completed)</small>		13.	<input type="checkbox"/>	_____	
	i.	<input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small>		14.	<input type="checkbox"/>	_____	
5.	<input type="checkbox"/>	Incorporation by Reference (useable if Box 4b is checked). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		15.	<input type="checkbox"/>	_____	
				16.	<input type="checkbox"/>	_____	
				<b>ADDRESS TO:</b>  <b>Assistant Commissioner for Patents</b> <b>Box Patent Application</b> <b>Washington, D.C. 20231</b>			
<b>17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:</b> <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: <u>08/708,945</u> <b>Prior application Information:</b> <b>Examiner:</b> <u>P. Caldwell</u> <b>Group/Art Unit:</b> <u>2755</u>							
<b>18. CORRESPONDENCE ADDRESS</b>							
<b>NAME</b>	Kory Christensen      Fenwick & West LLP						
<b>ADDRESS</b>	Two Palo Alto Square						
<b>CITY</b>	Palo Alto	<b>STATE</b>	CA	<b>ZIP CODE</b>	94306		
<b>COUNTRY</b>	U.S.A.	<b>TELEPHONE</b>	(650) 858-7657	<b>FAX</b>	(650) 494-1417		
<b>Name (Print/Type)</b>	Kory Christensen			<b>Registration No. (Attorney/Agent)</b>	43,548		
<b>Signature</b>					<b>Date</b>	May 24, 1999	

0002/PTO(modified)  
Rev. 10/95

U.S. Department of Commerce  
Patent and Trademark Office

## FEE TRANSMITTAL

### TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$ 1072.**

### Complete if Known

Application Number	Unassigned
Filing Date	
First Named Inventor	Peter B. Kessler
Group Art Unit	2755
Examiner Name	P. Caldwell
Attorney Docket Number	4278

### METHOD OF PAYMENT

#### 1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. <sup>†</sup>
- ☐ Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) to the below mentioned deposit account.

Deposit Account Number: 19-2555  
Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. ☒ Payment Enclosed:  
[ X ] Check [ ] Other

### FEE CALCULATION (fees effective 11/12/98)

#### 1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/\$760	201/\$380	Utility Filing	<b>760</b>
106/\$310	206/\$155	Design Filing	
108/\$760	208/\$380	Reissue Filing	
114/\$150	214/\$75	Provisional Filing	
SUBTOTAL (1)			<b>(\$)760.</b>

#### 2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/\$18	203/\$9	Claims in excess of 20
102/\$78	202/\$39	Independent claims in excess of 3
104/\$260	204/\$130	Multiple dependent claim
109/\$78	209/\$39	Reissue independent claims over original patent
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent

#### 3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
105/\$130	205/\$65	Surcharge - late filing fee or oath	
127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	
147/\$2,520	147/\$2,520	For filing a request for reexamination	
115/\$110	215/\$55	Extension for response within first month <sup>†</sup>	
116/\$380	216/\$190	Extension for response within second month <sup>†</sup>	
117/\$870	217/\$435	Extension for response within third month <sup>†</sup>	
118/\$1,380	218/\$680	Extension for response within fourth month <sup>†</sup>	
128/\$1,850	228/\$925	Extension for response within fifth month <sup>†</sup>	
119/\$300	219/\$150	Notice of Appeal	
141/\$1,210	241/\$605	Petition to revive unintentionally abandoned application	
142/\$1,210	242/\$605	Utility Issue Fee (Or Reissue)	
143/\$430	243/\$215	Design Issue Fee	
122/\$130	122/\$130	Petitions to the Commissioner	
123/\$50	123/\$50	Petitions related to provisional applications	
126/\$240	126/\$240	Submission of Information Disclosure Statement	
581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	
146/\$760	246/\$380	Filing a submission after final rejection (37 CFR 1.129(a))	
149/\$760	249/\$380	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify):

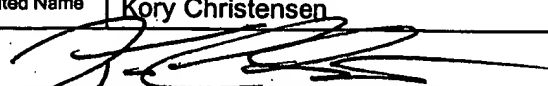

Other fee (specify):

SUBTOTAL (3) **(\$)**

(Col. 1)		(Col. 2)		(Col. 3)		Fee	Fee Due
For	No. of Existing Claims		Highest No. Previously Paid For	Extra**			
TOTAL	17	minus*	20 or		x		
INDEP	7	minus*	3 or		x	78	312
[ ] First presentation of multiple dependent claim							
* Subtract the greater number of Col. 2							SUBTOTAL (2) <b>(\$)312</b>
** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3							

08/09/1999 KVESTAL 00000001 192555 09317714

01 FC:102 156.00 CH  
02 FC:103 278.00 CH

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Kory Christensen	Reg. Number	43,548
Signature		Date	

<sup>†</sup> Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby  
19435/01725/882228 V